

PERSONAL DATA:

NAME: James Byron Davies
 Professor, July 1992
 Tenured: July 1983

HOME ADDRESS: 60 Longbow Road, London, Ontario, Canada N6G 1Y7
 Phone: 519-473-1457

OFFICE ADDRESS: Department of Economics, The University of Western Ontario, London, Ontario,
 Canada N6A 5C2
 Phone: 519-661-3529
 Fax: 519-850-2516

CITIZENSHIP: Canadian

EDUCATION:

<u>Degree</u>	<u>Field</u>	<u>Institution</u>	<u>Year</u>
Ph.D.	Economics	London School of Economics	1979
M.Sc.	Economics	London School of Economics	1974
Honours B.A.	Economics	University of Manitoba	1973

FIELDS:

Public Economics, Income and Wealth

RESEARCH INTERESTS:

Welfare and distributional effects of tax policy; Inequality measurement; Personal distribution of wealth;
 Human capital and taxation; Saving and tax policy

ACADEMIC AND PROFESSIONAL EXPERIENCE:

February 2008: Visitor, Meiji University, Tokyo

April 2005: Visiting Professor, Otto-von-Guericke University, Magdeburg, Germany

September – December 2004: Visiting Professor, Department of Economics, Warwick University, UK

July 2001 and August 2002: Visitor, UN University, World Institute for Development Economics Research, Helsinki

June – July 1998: Visiting Professor, Centre for Economic Studies, University of Munich

ACADEMIC AND PROFESSIONAL EXPERIENCE: (continued)

Summer 1996, 1997:	Short-term visitor, Tilburg University
May – August 1995:	Visiting Professor, School of Business, Bond University
Summer 1993, 1994:	Short-term visitor, Tilburg University
July 1992 – present:	Professor, Department of Economics, The University of Western Ontario
July 1982 – June 1992: (tenure conferred July 1983)	Associate Professor, Department of Economics, The University of Western Ontario
1987 – 1988:	Visiting Fellow, Department of Economics, University of Essex
January 1985 – June 1985:	Special Advisor, Department of Finance, Government of Canada (on executive interchange from UWO)
July 1979 – June 1982:	Assistant Professor, Department of Economics, The University of Western Ontario
July 1977 – June 1979:	Lecturer, Department of Economics, The University of Western Ontario

ACADEMIC HONOURS AND AWARDS:

2001 - 2008:	RBC Financial Group Fellow, UWO
1974 - 1977:	Canada Council Doctoral Fellowship
1973 - 1974:	Adalsteinn Kristjansson Travelling Scholarship, University of Manitoba
1973:	Gold Medal, Honors Arts, University of Manitoba
1970; 1971; 1972; 1973:	Dean's Honour List, Faculty of Arts and Science, University of Manitoba

PROFESSIONAL SERVICE:

2010 – 2011:	Chair, SSHRC Standard Research Grants Selection Committee # 7 (Economics)
2009 – present:	Member of UWO Organizing Committee for Western-CIGI-BRIC-Ontario ORF Project
2009:	Member of External Review Committee, Graduate and Undergraduate Programs, Department of Economics, University of Waterloo
2008:	Member of External Review Committee, Department of Economics, Queen's University

PROFESSIONAL SERVICE: (continued)

2006 – 2008:	Member of Organizing Committee, IDRC/CIGI China Young Scholars Poverty Network
2005 – 2010:	Member, Executive Council, Canadian Economics Association
2005 – 2010:	Editor, <i>Canadian Public Policy</i>
2005 – 2007:	Project Director, Personal Assets in a Global Perspective, UNU/WIDER
2004:	Member of Scientific Committee, Canadian Public Economics Study Group Annual Meetings, Toronto, June
2003:	Chair of External Review Committee, Department of Economics, Simon Fraser University
2003:	Member of OCGS Review Committee, Graduate Program of the Department of Economics, McMaster University
2002 – 2004:	Member of the SSHRC Standard Research Grants Review Committee in Economics
1999 – present:	Research Fellow, C.D. Howe Institute
1999 – present:	Research Fellow, CESifo Network, University of Munich
1999 – 2008:	Editorial Board, <i>Review of Income and Wealth</i>
1999 – present:	Editorial Board, <i>Canadian Tax Journal</i>
1999:	Selection Committee, Ontario Graduate Scholarships
1994:	Selection Committee, CEA Prize for Outstanding Research
1993 – 1995:	Queen Elizabeth II Scholarship Award Committee
1991 – 1992:	Working Group on Wealth Taxes, Fair Tax Commission, Province of Ontario
June 1990 – June 1993:	Editorial Board, <i>Canadian Journal of Economics</i>
1988 – 1992:	Principal Investigator, Canadian Household Panel Study project (see “Research Grants”)
May 1988:	Elected Member, NBER Conference on Research in Income and Wealth
1986 – 1987; 1987 – 1988:	Social Science Research Council Academic Advisory Committee on the Survey of Income and Program Participation (U.S. Census Bureau)

REFEREEING:**(i) Journals:**

American Economic Review
Canadian Journal of Economics
Canadian Public Policy
Canadian Review of Sociology and Anthropology
Canadian Tax Journal
Econometrica
Economica
Economic Inquiry
Economic Journal
Empirical Economics
European Economic Review
FinanzArchiv/Public Finance Analysis
German Economic Review
International Economic Review
International Tax and Public Finance
Journal of Development Economics
Journal of Development Studies
Journal of Economic Literature
Journal of Economic Theory
Journal of Labor Economics
Journal of Political Economy
Journal of Population Economics
Journal of Public Economic Theory
Journal of Public Economics
Public Finance
Public Finance Review
Quarterly Journal of Economics
Regional Science and Urban Economics
Review of Economic Dynamics
Review of Economics and Statistics
Review of Economic Studies
Review of Income and Wealth
Scandinavian Journal of Economics
Southern Economic Journal
World Development
World Economy

(ii) Research Organizations:

Canada Council
 C.D. Howe Institute
 Economic Council of Canada
 Fonds National de la Recherche Luxembourg
 Institute for Research on Public Policy
 National Institutes of Health (U.S.)
 National Science Foundation
 Research Grants Council, Hong Kong
 Social Science and Humanities Research Council of Canada

REFEREEING: (continued)**(iii) Publishers (Manuscript Review):**

Butterworths
 Cambridge University Press
 Edward Elgar
 Economic Council of Canada
 Gage
 Harper-Collins
 McGraw-Hill
 Oxford University Press
 Scott Foresman
 University of Chicago Press
 University of Michigan Press

UNIVERSITY ADMINISTRATIVE DUTIES:**(i) University:**

2011:	Member, Librarian and Archivists Negotiating Team, UWOFA.
2010-11:	Member, Alternative Qualifications Program Review Committee, Faculty of Education.
2010:	Member, Faculty Negotiating Team, UWOFA.
2008 – 2010:	UWOFA Appointee on UWO Salary Anomalies Committee.
2006 – 2009:	Member, Promotion and Tenure Committee, Department of Statistical and Actuarial Sciences.
2006 – 2009:	UWOFA Appointee on UWO Career Trajectory Adjustment Committee.
2006 – 2007:	Faculty Negotiating Team, UWOFA.
2005 – 2011:	Chair of Salary Committee, UWOFA.
2003 – 2004:	UWO Faculty Association Representative on UWO Salary Anomalies Committee.
2003 – 2004:	Treasurer; Member of Executive; Member of Board of Directors, UWO Faculty Association.
2003:	Member, Collective Agreement Negotiating Team, UWO Faculty Association.
2002 – 2003:	Member of Board of Directors; Chair of Benefits Committee; Member of Salary Committee, UWO Faculty Association.

UNIVERSITY ADMINISTRATIVE DUTIES: (continued)

2002 – 2005:	Member of Advisory Committee, CIDA-sponsored UWO research program on “Prevention of Violence Against Women in Costa Rica.”
1999 – 2000:	Selection Committee, Vice-President Research.
1999 – 2001:	Promotion and Tenure Committee, Ivey School of Business.
1999 – 2001:	Member of Senate, representing Faculty of Social Science.
1998 – 1999:	UWO Faculty Association Salary Committee.
1995 – 1996:	Graduate Program and Planning Committee (GPPC).
1994 – 1996:	Senate Committee on University Planning (SCUP).
1994 – 1995:	Senate representative on Selection Committee for Vice-President (Research).
1994 – 1995:	Senate representative on Decanal Selection Committee, Business School.
1994 – 1995:	Faculty Representative on Decanal Selection Committee, Faculty of Social Science.
1994 – 1995:	Subcommittee on Budgetary Affairs (SUBA).
1992 – 1994:	Member of Senate, representing Faculty of Graduate Studies.

(ii) Faculty:

2010-11:	Chair, Nominating Committee, Faculty of Social Science.
2009-10:	Member, Nominating Committee, Faculty of Social Science.
2009 – 2010:	Member, Chair Selection Committee, Department of Economics.
2007 – present:	Member, Promotion and Tenure Committee, Department of Geography.
2003 – 2004:	Member, Nominating Committee, Faculty of Social Science.
2001 – present:	Institute Director, RBC Financial Group Economic Policy Research Institute (EPRI).
1991:	Department of Sociology Chair Selection Committee Member.

(iii) Department:

2009 – 2011:	Chair, Graduate Studies Awards Committee.
2009 – 2010:	Member, CIBC Chair’s Selection Committee.
2006 – 2011:	Editor, Department of Economics Research Reports Series.

UNIVERSITY ADMINISTRATIVE DUTIES: (continued)

2005 – 2011:	Member, Promotion and Tenure Committee.
2003 – 2004:	Placement Officer.
2003 – 2004:	Member, Promotion and Tenure Committee.
2002 – 2003:	Associate Director of Graduate Studies.
1992 – 2001:	Chair of Department; Chair of Appointment Committee; Chair of Promotion and Tenure Committee.
1989 - 1990; 1990 – 1991:	Appointment Standing Committee Member.
1986 - 1987; 1988 – 1992:	Director, Applied Micro/Public Finance Workshop.
1988 – 1989:	Promotion and Tenure Standing Committee Member.
1982 - 1983; 1983 – 1984:	Director of Graduate Studies.
1981 - 1982; 1986 – 1987:	Appointment, Promotion and Tenure Committee Member.

Ph.D. THESIS ADVISORY WORK:

Ron Hood, “A Two Asset Model of Personal Taxation,” 1982, advisory committee.

Mobinul Huq, "A General Equilibrium Model of Person Specific Information," 1987, chief advisor.

Marc Bilodeau, "Decentralized Provision of Public Goods," 1990, advisory committee.

Ngee Choon Chia, "Analysis of Tax Policy Options for Singapore," 1991, advisory committee.

Jie Zhang, "Essays on Economic Growth," 1993, advisory committee.

Seok-Dong Wang, “Occupational Choice, Financial Markets and Income Distribution,” 1997, chief advisor.

Gervan Fearon, “Public Sector Choice: A Matter of Scale,” 1999, advisory committee.

Aled ab Iorwerth, “The Economic Analysis of Government Policies,” 2000, advisory committee.

Césaire Meh, “Essays in Entrepreneurship and Taxation,” 2001, advisory committee.

Tatyana Koreshkova, “Essays on the Macroeconomic Effects of Taxation,” 2001, advisory committee.

Ab Aydemir, “Immigration Policy and Economic Outcomes,” 2003, advisory committee.

Vlad Ivanenko, “Non-Monetary Trade, Virtuality, and Tax Burden in the Russian Transition of 1992-8,” 2003, advisory committee.

Ph.D. THESIS ADVISORY WORK: (continued)

Phil Curry, “Application of Game Theory: Evolution and Law,” 2003, advisory committee.

Kirk Collins, “Essays on Human Capital and Taxation,” 2004, University of Ottawa, advisory committee.

Manish Pandey, “Essays on Cross-Country Technological Differences on Mortgage Interest Deductibility,” 2005, co-chief advisor.

Shek-Wai Hui, “On the Training and Education of Canadians,” 2005, advisory committee.

Otto Reich, “Essays in Political Economy and Public Economics,” 2007, advisory committee.

Jie Zhou, “Essays on Household Portfolios and Tax-deferred Accounts,” 2007, advisory committee.

Chris Mitchell, Dan Montanera, and Jacob Wibe, all in progress, advisory committee.

RESEARCH GRANTS:

2011 – 2016:	“Coastal Cities Climate Change” IDRC, Co-investigator, PI: Gordon MacBean	\$ 5,000,000
2010 – 2012:	“Risk and Return: Challenges for the Third Pillar of the Canadian Retirement Income System” CLSRN for HRSDC, Co-investigator, PIs: Kevin Milligan and Tammy Schirle	\$ 25,000
2009 – 2014:	“Western-CIGI-BRIC-Ontario Project” Ontario Research Fund, Co-investigator, PI: John Whalley	\$ 1,500,000
2007 – 2010:	“An Integrated System Dynamics Model for Analyzing Behaviour of the Social-Economic-Climatic System” NSERC, Collaborator, PI: Slobodan Simonovic	\$ 246,000
2002 – 2004:	“The Role of Government in Services for Natural Disaster Reduction” Institute for Catastrophic Loss Reduction, UWO (co-investigator with 3 others)	\$ 82,000
1997 – 2001:	"Saving Behaviour, Wealth Inequality, and Age" SSHRCC	\$ 44,000
1989 – 1991:	"Nonparametric Estimation of Income Distribution" SSHRCC (with M. Hoy and A. Ullah)	\$ 17,000
1988 – 1992:	Canadian Household Panel Study Project SSHRCC (with P. Bernard, C. Beach, J. Curtis, B. Fox, E. Lapierre-Adamcyk, M. Ornstein, and R. Robb)	\$120,000
1987 – 1989:	Research on Distributional Effects of Alternative Tax Reform Proposals - SSHRCC	\$ 17,600

RESEARCH GRANTS: (continued)

1978 – 1981: Research on Income Distribution and Labor Markets \$ 40,000
 UWO Academic Development Fund grant
 (with G. Carliner, G. MacDonald, C. Robinson, and N. Tomes)

Ph.D. THESIS TITLE:

"Life-Cycle Savings, Inheritance, and the Distribution of Personal Income and Wealth in Canada"

PUBLICATIONS:**(i) Books:**

Davies, James B. (editor). *Personal Wealth from a Global Perspective*, Oxford, UK: Oxford University Press, 2008. (467 pages)

Davies, James B., and France St-Hilaire. *Reforming Capital Income Taxation in Canada: Efficiency and Distributional Effects of Alternative Options*. Ottawa: Minister of Supply and Services Canada for the Economic Council of Canada, 1987. (153 pages)

Davies, James B., and Glenn MacDonald. *Information in the Labour Market: Job-Worker Matching and its Implications for Education in Ontario*. Toronto: University of Toronto Press for the Ontario Economic Council, 1984. (190 pages)

(ii) Articles and Notes in Refereed Journals:

Burbidge, John, Kirk Collins, James B. Davies, and Lonnie Magee. "Effective Tax and Subsidy Rates on Human Capital in Canada," *Canadian Journal of Economics*, forthcoming.

James B., and Stanley L. Winer. "Closing the 49th Parallel: An Unexplored Episode in Canadian Economic and Political History," *Canadian Public Policy* 37 (2011): 307-334.

Davies, James B., Susanna Sandström, Anthony Shorrocks, and Edward Wolff. "The Level and Distribution of Global Household Wealth," *Economic Journal* 121 (2011): 223-254.

Davies, James B., Susanna Sandström, Anthony Shorrocks, and Edward Wolff. "The Global Pattern of Household Wealth," *Journal of International Development* 21, 8 (2009): 1111-1124.

Davies, James B. "Combining Microsimulation with CGE and Macro Modelling for Distributional Analysis in Developing and Transition Countries," *International Journal of Microsimulation* 2, 1 (2009): 49-65.

Davies, James B., Jinli Zeng, and Jie Zhang. "Time-Consistent Taxation in a Dynastic Family Model with Human and Physical Capital and a Balanced Budget," *Canadian Journal of Economics* 42, 3 (2009): 1023-49.

Zhang, Jie, James B. Davies, Jinli Zeng, and Stuart McDonald. "Optimal Taxation in a Growth Model with Public Consumption and Home Production," *Journal of Public Economics* 92, 3-4 (2008): 885-896.

PUBLICATIONS: (continued)

- Davies, James B., Martin G. Kocher, and Matthias Sutter. "Economics Research in Canada: a Long-Run Assessment of Journal Publications," *Canadian Journal of Economics* 41, 1 (2008): 22-45.
- Davies, James B., Jinli Zeng, and Jie Zhang. "Intergenerational Mobility Under Private vs. Public Education," *Scandinavian Journal of Economics* 107, 3 (2005): 399-417.
- Davies, James B., and Michael Hoy. "Flat Rate Taxes and Inequality Measurement," *Journal of Public Economics* 84, 1 (2002): 33-46.
- Davies, James B., Jinli Zeng, and Jie Zhang. "Consumption vs. Income Taxes when Private Human Capital Investments are Imperfectly Observable," *Journal of Public Economics* 77 (2000): 1-28.
- Davies, James B., and Junsen Zhang. "The Effects of Gender Control on Fertility and Children's Consumption," *Journal of Population Economics* 10 (1997): 67-85.
- Davies, James B., and Junsen Zhang. "Measuring Federal Marginal Tax Rates for Individuals in Canada: Averages and Distributions Over Time," *Canadian Journal of Economics* 29 (1996): 959-975.
- Davies, James B. "Distributional Effects of the Lifetime Capital Gains Exemption: Single- vs. Multi-Year Analysis," *Canadian Public Policy* 21 (1995): S159-S173.
- Davies, James B., and Michael Hoy. "Making Inequality Comparisons When Lorenz Curves Intersect," *American Economic Review* 85 (1995): 980-986.
- Davies, James B., and Junsen Zhang. "Gender Bias, Investments in Children, and Bequests," *International Economic Review* 36 (1995): 795-818.
- Davies, James B., and Michael Hoy. "The Normative Significance of Using Third-Degree Stochastic Dominance in Comparing Income Distributions," *Journal of Economic Theory* 64 (1994): 520-530.
- Davies, James B., and Peter Kuhn. "Social Security, Longevity, and Moral Hazard," *Journal of Public Economics* 49 (1992): 91-106.
- Davies, James B., and Ian Wooton. "Income Inequality and International Migration," *Economic Journal* 102 (1992): 789-802.
- Davies, James B. "The Distributional Effects of Wealth Taxes," *Canadian Public Policy* 17 (1991): 279-308.
- Davies, James B., and Peter Kuhn. "A Dynamic Model of Redistribution, Inheritance, and Inequality," *Canadian Journal of Economics* 24 (1991): 324-344.
- Davies, James B., and Graham Glenday. "Accrual Equivalent Marginal Tax Rates for Personal Financial Assets," *Canadian Journal of Economics* 23 (1990): 189-209.
- Davies, James B., Bob Hamilton, and John Whalley. "Capital Income Taxation in a Two Commodity Life Cycle Model: The Role of Factor Intensity and Asset Capitalization Effects," *Journal of Public Economics* 39 (1989): 109-126.
- Davies, James B., and A.F. Shorrocks. "Optimal Grouping of Income and Wealth Data," *Journal of Econometrics* 42 (1989): 97-108.

PUBLICATIONS: (continued)

Davies, James B. "Family Size, Household Production, and Life-Cycle Saving," *Annales d'Economie et de Statistique* 9 (1988): 141-166.

Davies, James B. "Does Redistribution Reduce Inequality?" *Journal of Labor Economics* 4 (1986): 538-559.

Davies, James B., France St-Hilaire, and John Whalley. "Some Calculations of Lifetime Tax Incidence," *American Economic Review* 74 (1984): 633-649. Reprinted in *Readings, Issues and Questions in Public Finance*, Eleanor Brown (ed.), Homewood, IL: Irwin, 1988, pp. 115-126, and in *Modern Public Finance Volume I*, A.B. Atkinson (ed.), Aldershot, UK: Edward Elgar Publishing, Ltd., 1991, pp. 238-254.

Davies, James B. "The Relative Impact of Inheritance and Other Factors on Economic Inequality," *Quarterly Journal of Economics* 47 (1982): 471-498.

Davies, James B. "Uncertain Lifetime, Consumption and Dissaving in Retirement," *Journal of Political Economy* 89 (1981): 561-577.

Davies, James B. "On the Size Distribution of Wealth in Canada," *Review of Income and Wealth* 25 (1979): 237-259.

Davies, James B., and A.F. Shorrocks. "Assessing the Quantitative Importance of Inheritance in the Distribution of Wealth," *Oxford Economic Papers* 30 (1978): 138-149.

(iii) Articles and Notes in Edited Volumes and Proceedings:

Davies, James B., "Discussion of 'What is Good Government? David Laidler's Views' by Robert Young and David Laidler," in Robert Leeson (ed.), *David Laidler's Contributions to Economics*, Basingstoke, Palgrave Macmillan, 2010, pp. 109-113.

Davies, James B., "Policies for Lower Global Wealth Inequality," in Anotonio Estache and Danny Leipziger (eds.), *Stuck in the Middle: Is Fiscal Policy Failing the Middle Class?* World Bank and Brookings Institution, Washington D.C., 2009, pp. 97-124.

Davies, James B., "Wealth and Economic Inequality," in Wiemer Salverda, Brian Nolan and Tim Smeeding (eds.), *Oxford Handbook of Economic Inequality*, Oxford University Press, 2009, pp. 127-149.

Davies, James B., "An Overview of Personal Wealth," in James B. Davies (ed.), *Personal Wealth From a Global Perspective*, Oxford University Press, Oxford, 2008, pp. 1-23.

Davies, James B., Susanna Sandström, Anthony F. Shorrocks, and Edward N. Wolff, "The World Distribution of Household Wealth," in James B. Davies (ed.), *Personal Wealth From a Global Perspective*, Oxford University Press, Oxford, 2008, pp. 395-418.

Davies, James B., and Michael Hoy, "Progressivity Implications of Public Health Insurance Funding in Canada," in Peter J. Lambert (ed.), *Equity, Research on Economic Inequality*, Vol. 15, Elsevier, Amsterdam, 2007, pp. 133-168.

PUBLICATIONS: (continued)

- Bartlett, Randal, James B. Davies, and Michael Hoy, "Can a Negative Income Tax System for the UK Be Both Equitable and Affordable?" in Karl Widerquist, Michael Lewis, and Steven Pressman (eds.), *The Ethics and Economics of the Basic Income Guarantee*, Ashgate Publishing, Aldershot, 2005, pp. 293-315.
- Collins, Kirk A., and James B. Davies, "Recent Changes in Effective Tax Rates on PSE Level Human Capital in Canada," in Charles M. Beach, Robin W. Boadway, and R. Marvin McNinn (eds.), *Higher Education in Canada*, McGill-Queen's University Press for John Deutsch Institute for the Study of Economic Policy, Queen's University, Montreal and Kingston, 2005, pp. 417-451.
- Collins, Kirk A., and James B. Davies, "Measuring Effective Tax Rates on Human Capital: Methodology and an Application to Canada," in Peter Birch Sorensen (ed.), *Measuring the Tax Burden on Capital and Labor*, MIT Press, Cambridge, Mass., 2004, pp. 171-212.
- Collins, Kirk A., and James B. Davies, "Tax Treatment of Human Capital in Canada and the United States: An Overview and Examination of the Case of University Graduates," in Richard G. Harris (ed.), *North American Linkages: Opportunities and Challenges for Canada*, The Industry Canada Research Series, University of Calgary Press, Calgary, 2003, pp. 449-86.
- Davies, James B., Michael Hoy, and Tracy Lynch, "Flat Taxes and Inequality in Canada," in Yoram Amiel and John A. Bishop (eds.), *Fiscal Policy, Inequality and Welfare, Research on Economic Inequality, Vol. 10*, Elsevier, Amsterdam, 2003, pp. 125-146.
- Davies, James B., and A.F. Shorrocks, "The Distribution of Wealth," in A.B. Atkinson and François Bourguignon (eds.), *Handbook of Income Distribution*, North-Holland Elsevier, Amsterdam, 2000, pp. 605-675.
- Davies, James B., David A. Green, and Harry J. Paarsch, "Economic Statistics and Social Welfare Comparisons: A Review," in Aman Ullah and David E.A. Giles (eds.), *Handbook of Applied Economic Statistics*, Marcel Dekker, New York, 1998, pp. 1-38.
- Davies, James B., "A Comment," in William B.P. Robson and William Scarth (eds.), *Equality and Prosperity Finding Common Ground*, Toronto, C.D. Howe Institute, 1997, pp. 93-104.
- Davies, James B., "Explaining Intergenerational Transfers," in Paul Menchik (ed.), *Household and Family Economics*, Boston: Kluwer Academic Publishers, 1996, pp. 47-82.
- Davies, James B., "Discussion of 'Using Geographic Variation in College Proximity to Estimate the Return to Schooling' by David Card," in L. Christofides, E.K. Grant, and R. Swidinsky (eds.), *Aspects of Labour Market Behaviour: Essays in Honour of John Vanderkamp*, Toronto: University of Toronto Press, 1995, pp. 222-224.
- Davies, James B., and John Burbidge, "Household Data on Saving Behavior in Canada," in *International Comparisons of Household Saving*, James Poterba (ed.). Chicago: University of Chicago Press, NBER, 1994, pp. 11-56.
- Davies, James B., "Inheritance and the Distribution of Wealth in Britain and Canada," in *Savings and Bequests*, T. Tachibanaki (ed.), Ann Arbor: University of Michigan Press, 1994, pp. 245-284.

PUBLICATIONS: (continued)

- Burbidge, John B., and James B. Davies, "Government Incentives and Household Saving in Canada," in *Public Policies and Household Savings*, James Poterba (ed.), Chicago: University of Chicago Press, NBER, 1994, pp. 19-56.
- Davies, James B., "The Distribution of Wealth in Canada," in *Research on Economic Inequality*, Vol. 4, E. Wolff (ed.), Greenwich, CT: JAI Press, 1993, pp. 159-180.
- Davies, James B., "The Distribution of Wealth and Economic Inequality," in *Social Inequality in Canada, Patterns, Problems, Policies*, 2nd edition, James Curtis, Edward Grabb, and Neil Guppy (eds.), Scarborough: Prentice Hall, 1993, pp. 105-120. (5th edition, 2009)
- Davies, James B., "Tax Incidence: Annual vs. Lifetime Perspectives in the U.S. and Canada," in *Canada - U.S. Tax Comparisons*, J. Shoven and J. Whalley (eds.), Chicago: University of Chicago Press, 1992.
- Davies, James B., "The Tax Treatment of the Family," in *Taxation to 2000 and Beyond*, Toronto: Canadian Tax Foundation, 1992. pp. 166-199.
- Davies, James B., and John Whalley, "Taxes and Capital Formation: How Important is Human Capital?" in *National Saving and Economic Performance*, D. Bernheim and J. Shoven (eds.), Chicago: University of Chicago Press, 1991, pp. 169-197.
- Davies, James B., "Incidence of Tax Expenditures in a Lifetime Framework: Theory and an Application to RRSPs," in *Tax Expenditures and Government Policy*, Neil Bruce (ed.), Kingston, ON: John Deutsch Institute, Queen's University, 1988, pp. 339-367.
- Davies, James B., and Peter Kuhn, "Redistribution, Inheritance, and Inequality: An Analysis of Transitions," in *Modelling the Accumulation and Distribution of Wealth*, A. Masson and D. Kessler (eds.), Oxford: Oxford University Press, 1988, pp. 123-143.
- Davies, James B., "Equity and Efficiency Aspects of a Business Transfer Tax," in *Report of the Policy Forum on Business Transfer Tax*, R. Boadway and J. Mintz (eds.), Kingston, ON: John Deutsch Institute, Queen's University, 1986, pp. 31-41.
- Davies, James B., "Manufacturer's Sales Tax, Value-Added Tax, and Tax Incidence," in *The 1985 Conference Report: Report of the Proceedings of the Thirty-Seventh Tax Conference*. Toronto: Canadian Tax Foundation, 1986, pp. 15:1-15:14.
- Davies, James B., "Training and Skill Development," in *Adapting to Change: Labour Market Adjustment in Canada* (vol. 18 of research studies, Royal Commission on the Economic Union and Development Prospects for Canada), W. Craig Riddell (ed.), Toronto: University of Toronto Press, 1986, pp. 163-219.
- Davies, James B., "Does Canada Need Capital Transfer Taxation?" in *Tax Policy Options in the 1980's*, W. Thirsk and John Whalley (eds.), Toronto: Canadian Tax Foundation, 1982, pp. 337-357.
- Davies, James B., "The 1970 Survey of Consumer Finance, Nonsampling Error, and the Personal Distribution of Wealth in Canada," in *Reflections on Canadian Incomes*, Ottawa: Minister of Supply and Services Canada for the Economic Council of Canada, 1980, pp. 321-340.

PUBLICATIONS: (continued)**(iv) Refereed Commentaries:**

Collins, Kirk A., and James B. Davies, *Carrots and Sticks: The Effect of Recent Spending and Tax Changes on the Incentive to Attend University*, Commentary No. 220, Toronto, C.D. Howe Institute, October 2005, 22 pp.

Davies, James B., and Kenneth J. Boessenkool, *Giving Mom and Dad a Break: Returning Fairness to Families in Canada's Tax and Transfer System*, Commentary No. 117, Toronto, C.D. Howe Institute, November 1998, 32 pp.

Davies, James B., *Marginal Tax Rates in Canada: High and Getting Higher*, Commentary No. 103, Toronto, C.D. Howe Institute, March 1998, 24 pp.

(v) Non-Refereed Monographs:

Shorrocks, Anthony, Jim Davies and Rodrigo Luberas, *Global Wealth Report*, Credit Suisse Group AG, Zurich, 2010, 47 pp.

Shorrocks, Anthony, Jim Davies and Rodrigo Luberas, *Global Wealth Databook*, Credit Suisse Group AG, Zurich, 2010, 128 pp.

(vi) Articles in Non-Refereed Journals:

Davies, James B., "Control Spending to Cut Both Taxes and Tax-Backs," *Policy Options* Vol. 20, No. 10 (1999): 20-21.

Davies, James B., "Age, Wealth Inequality and Life-Cycle Modelling," *The Geneva Papers on Risk and Insurance, Issues and Practice* Vol. 24, No. 1 (1999): 64-76.

Davies, James B., "The Fiscal Dividend: Handling a Dangerous Idea," *Policy Options*, Vol. 19, No. 1 (1998): 13-15.

(vii) Miscellany:

"A Problem with Intrinsically Worthless Money," *Journal of Political Economy*, 106 (4), August 1998, back cover (excerpt from Don C. Reed, *The Dolphins and Me*, San Francisco, Sierra Club Books, 1989, suggested by Jim Davies).

UNPUBLISHED WORK:**(i) Major Research Proposal:**

Bernard, P., C. Beach, J. Curtis., J.B. Davies, B. Fox, E. Lapierre-Adamcyk, M. Ornstein, and R. Robb. *A Proposal for a National Panel Study of the Social and Economic Dynamics of Making a Living*, submitted to the Social Sciences and Humanities Research Council of Canada, December 1988, 144 pp.

UNPUBLISHED WORK: (continued)**(ii) Technical Reports and Unpublished Working Papers:**

Davies, James B. "Efficiency and Effectiveness of Savings Instrument Design," Federal-Provincial Territorial Ministers' Working Group on Retirement Income Adequacy, December 2009.

Davies, James B., Susanna Sandström, Anthony B. Shorrocks, and Edward N. Wolff, "The Level and Distribution of Global Household Wealth", NBER Working Paper 15508, November 2009.

Collins, Kirk, and James B. Davies, "Effective Tax and Subsidy Rates on Human Capital Investment via Post-Secondary Education in Canada: 2000 and 2006," prepared for Department of Finance, Government of Canada, April 2007.

Davies, James B., and A.F. Shorrocks, "Wealth Holdings in Developing and Transition Countries," presented at Luxembourg Wealth Study conference, Perugia, January 2005 (see next section).

Davies, James B., and Al Slivinski, "The Public Role in Provision of Scientific Information: An Economic Approach to the Basic Issues," Institute for Catastrophic Loss Reduction (ICLR) and Economic Policy Research Institute (EPRI), UWO, January 2005.

Davies, James B., "Microsimulation, CGE and Macro Modelling for Transition and Developing Economies," UNU/WIDER, Helsinki, Discussion Paper # 2004/08.

Davies, James B., "Social and Economic Risks to Seniors in Ontario," Ontario Panel on the Role of Government, January 2004.

Davies, James B., "Empirical Evidence on Human Capital Externalities," Department of Finance, Ottawa, 2003, Working Paper 2003-11.

Davies, James B., and Ian Wooton, "Payroll Taxes in Brazil: An Analysis of the Major Efficiency and Equity Issues," for World Bank, Washington, 1989.

Davies, James B., "The Incidence Effects of RPPs and RRSPs in a Lifetime Framework," for Department of Finance, Government of Canada, 1989.

CONFERENCE PRESENTATIONS:**The 1970 Survey of Consumer Finance, Nonsampling Error, and the Personal Distribution of Wealth in Canada**

Winnipeg, May 1979, Economic Council of Canada, Conference on Canadian Incomes, invited.

Uncertain Lifetime, Consumption, and Dissaving in Retirement

Aix-en-Provence, France, August 1980, World Congress of the Econometric Society

Does Canada Need Capital Transfer Taxation?

Toronto, March 1981, Canadian Tax Foundation, Conference on Tax Policy Options for the 1980's

Redistribution, Inheritance, and Inequality: An Analysis of Transitions

University of Paris, September 1984, Seminar on Wealth Accumulation and Distribution Models, invited.

CONFERENCE PRESENTATIONS: (continued)**Redistribution, Inheritance, and Inequality: An Analysis of Transitions**

Madrid, September 1984, European Meetings of the Econometric Society

Making Inequality Comparisons When Lorenz Curves Intersect

Montreal, May 1985, Canadian Economics Association annual meetings

A Dynamic Model of Redistribution, Inheritance, and Inequality

Queen's University, May 1985, Canadian Economic Theory Conference

Social Security, Longevity, and Moral Hazard

Winnipeg, May 1986, Canadian Economics Association annual meeting

Family Size, Household Production, and Life-Cycle Saving

University of Paris, June 1986, International Seminar on Life Cycle Theory (in honor of Franco Modigliani), invited.

Some Recent Development in the Theory of Private Intergenerational Transfers

St. John's College, Cambridge, July 1988, Work, Retirement and Intergenerational Equity, 1850-2050: The Social Economy of the Second Half of Life, invited.

Incidence of Tax Expenditures in a Lifetime Framework: Theory and an Application to RRSPs

Queen's University, Kingston, Ontario, November 16-17, 1988, John Deutsch Institute Conference on Tax Expenditures and Government Policy, invited.

Taxes and Capital Formation: How Important is Human Capital? (with John Whalley) Maui, Hawaii, January 5-7, 1989, National Bureau of Economic Research Conference on Saving, invited.

Tax Incidence: Annual vs. Lifetime Perspectives in the U.S. and Canada

Stanford, August 25-26, 1989, NBER/Donner Foundation, Canada - U.S. Tax Comparison Project, Preliminary Meeting, invited.

The Distributive Effects of Wealth Taxes

University of Toronto, September 12-13, 1991, Institute for Policy Analysis, Conference on Wealth Taxes, invited.

Tax Treatment of the Family

Canadian Tax Foundation, Taxation 2000 Conference, Toronto, January 24-25, 1991.

Inheritance and the Distribution of Wealth in Britain and Canada

Institute for Posts and Telecommunications Policy, 3rd International Conference on Saving and Bequest, Tokyo, March 17-18, 1992, invited.

Household Data on Saving in Canada (with John Burbidge)

National Bureau of Economic Research, International Saving Comparison Conference, Key Largo, March 19-20, 1993, invited.

CONFERENCE PRESENTATIONS: (continued)**Wealth Inequality and Age**

TMR/Savings Workshop, Center for Economic Research, Tilburg University, The Netherlands, July 1-3, 1996, invited.

CEA Annual Meetings, St. John's Nfld., June 1997

International Conference on the Distribution of Wealth, University of Essex, United Kingdom, June 1997, invited.

The Distribution of Wealth

UNICEF and North-Holland Elsevier, Handbook of Income Distribution Working Session, Florence, March 20-22, 1997, invited.

International Conference on Intergenerational Transfers, Uppsala University, June 18-19, 1999, invited.

Human Capital and Taxation in Canada

CERF/IRPP Conference, Creating Canada's Advantage in an Information Age, Ottawa, May 4-6, 2000, invited.

Optimal Tax Mix in a Two-Sector Growth Model with Transitional Dynamics: An Analytical Approach

CEA Annual Meetings, Vancouver, June 2000.

Warwick / IFS / ESRC Public Economics Weekend, Warwick University, April 5 & 6, 2001.

Tax Treatment of Human Capital in Canada and the United States: An Overview and Examination of the Case of University Graduates

Industry Canada Conference on North American Linkages: Opportunities and Challenges for Canada; Calgary, June 20-22, 2001, invited.

Sociobiology and Bequest Motives

Arne Ryde Foundation, 21st Symposium: Non-Human Wealth and Capital Accumulation, Lund, August 23-25, 2001, invited.

Equity Issues

Finance Canada/C.D.Howe Symposium on the Tax Treatment of Savings and Personal Investment Income, Toronto, April 17, 2002, invited.

Tax Treatment of Human Capital

Queen's University annual Public Finance conference, Kingston, Nov. 15, 2002 (with Kirk Collins), invited.

Social and Economic Risks to Seniors in Ontario

Ontario Panel on the Role of Government workshop, University of Toronto, May 14, 2003, invited.

Microsimulation, CGE and Macro Modelling for Transition and Developing Economies

UNU/WIDER Conference on Inequality, Poverty and Human Well-Being, Helsinki, May 30-31, 2003, invited.

PEP Network General Meeting, Senegal, June 2004, invited.

The Public Role in Provision of Scientific Information: An Economic Approach to the Basic Issues

Value of Weather Workshop, Institute for Catastrophic Loss Reduction (ICLR), UWO, Dec. 15, 2004, invited.

Wealth Holdings in Developing and Transition Countries

Luxembourg Wealth Study (LWS) Conference on Construction and Usage of Comparable Microdata on Wealth, Perugia, Jan. 27-29, 2005, invited.

CONFERENCE PRESENTATIONS: (continued)**Carrots and Sticks: The Effect of Recent Spending and Tax Changes on the Incentive to Attend University**

C.D. Howe Institute Session, CEA Meetings, McMaster University, May 2005, invited.

Estimating the World Distribution of Wealth

UNU/WIDER Project Meeting on Personal Assets from a Global Perspective, Helsinki, May 6-8, 2006, invited.

The World Distribution of Household Wealth

Eastern Economics Association Annual Meetings, New York City, Feb. 24, 2007.

World Bank Conference on Global Distributive Justice, Washington D.C., May 1, 2007, invited.

Conference on Mapping Global Inequalities, Center for Global, International and Regional Studies, University of California at Santa Cruz, December 13-14, 2007, invited.

An Integrated System Dynamics Model of the Social-Economic-Climatic System (with S. Simonovic)

CIGI Climate Policy Workshop 2008, Waterloo, December 3, 2008, invited.

The Global Distribution of Household Wealth (with A.F. Shorrocks and R. Lluberias)

International Economics Association Conference, Beijing, July 4-7, 2011, invited.

SEMINAR PRESENTATIONS SINCE SEPTEMBER 1987 (all invited):**Social Security, Moral Hazard, and Longevity**

University of Bath, November 25, 1987

Institute for Fiscal Studies, University College, London, January 26, 1988

London School of Economics, April 1988

University of Salford, March 3, 1988

Strategic Bequests

University of Essex, November 1987

London School of Economics, March 4, 1988

Centre d'Etudes et de Recherches sur L'Epargne, les Patrimoines et les Inegalites, Universite de Paris, March 1988

McMaster University, October 1988

University of Guelph, November 18, 1989

Michigan State University, May 17, 1989

Political Economy Workshop, The University of Western Ontario, lunchtime talk, November 27, 1989

Public Finance Workshop, The University of Western Ontario, March 1990

The Lifetime Incidence of RRSPs

Carleton University, November 7, 1988

Taxes and Human Capital Formation: How Important is Human Capital?

The University of Western Ontario, December 1988

University of Toronto, November 24, 1989

The Treatment of Financial Intermediation Under a Value-Added Tax

The University of Western Ontario, September 24, 1990

SEMINAR PRESENTATIONS SINCE SEPTEMBER 1987 (all invited): (continued)**Sex Preference, Investments in Children, and Fertility**

The University of Western Ontario, June 13, 1991

Pennsylvania State University, March 1992

University of Rochester, October 1992

Household Data on Saving in Canada

Tilburg University, The Netherlands, August 1993

University of Windsor, October 1993

Gender Bias, Investments in Children, and Bequests

Tilburg University, The Netherlands, June 1994

Lakehead University, October 1994

Concordia University, November 28, 1994

Flat Taxes and Inequality

Australian National University, August 4, 1995

University of New South Wales, August 14, 1995

Victoria University of Wellington, August 25, 1995

York University, November 1998

Queen's University, November 1998

Wealth Inequality and Age

University of Western Ontario, May 1997

Optimal Tax Mix in a Two-Sector Growth Model with Transitional Dynamics: An Analytical Approach

University of Saskatchewan, March 2000

The World Distribution of Wealth

University of Warwick, Department of Economics, Development Workshop, November 26, 2004

Wealth Holdings in Developing and Transition Economies

UNU/WIDER, Helsinki, January 24, 2005

The Public Economics of Weather Information (with Al Slivinski)

Economic Policy Research Institute Lunch-hour Workshop, March 29, 2005

How to Reduce Inequality While Flattening Taxes

Faculty of Economics and Management, Otto-von-Guericke University, Magdeburg, Germany, April 19, 2005

Effective Tax and Subsidy Rates on Human Capital Investment via Post-Secondary Education in Canada: 2000 and 2006

Department of Finance, Government of Canada, Ottawa, April 13, 2007

The World Distribution of Household Wealth

Laurier School of Business & Economics, in cooperation with the Centre for International Governance Innovation (CIGI), "Food for Thought" series, March 14, 2008

Carleton University, September 5, 2008

Lectures on the Reconstruction of Canadian Public Finances (3 lectures)

Meiji University, Tokyo, February 25-27, 2008